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PTO/SB/21 (09-04)
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TRANSMITTAL FORM

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Total Number of Pages in This Submission

20

Application Number	10/801/789
Filing Date	03/16/04
First Named Inventor	Andy T. Yu, et al
Art Unit	2824
Examiner Name	Unassigned
Attorney Docket Number	49667.22371

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO/SB08A; PTO/SB/08B;IDS Pursuant to 37 CFR Sec. 1.56; Copies of Non-Literature: A Dual Gate, etc; Cert. of Mail; Change of Correspondence Address
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carlton Fields, P. A.		
Signature			
Printed name	Li K. Wang		
Date	3/15/05	Reg. No.	44,393

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Andy T. Yu, et al.

Serial No. 10/801,789

Filed: 3/16/04

Examiner: Unassigned

Group: 2824

**Title: Non-Volatile Electrically Alterable Memory Cell for Storing
Multiple Data and An Array Thereof**

INFORMATION DISCLOSURE STATEMENT

March 15, 2005

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

SIR:

Submitted herewith, on Forms PTO/SB/08A and PTO/SB/08B, are listings of documents known to applicant and/or his attorneys in compliance with the requirements of 37 CFR §1.56.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

Respectfully submitted,

CARLTON FIELDS, P. A.

Li K. Wang

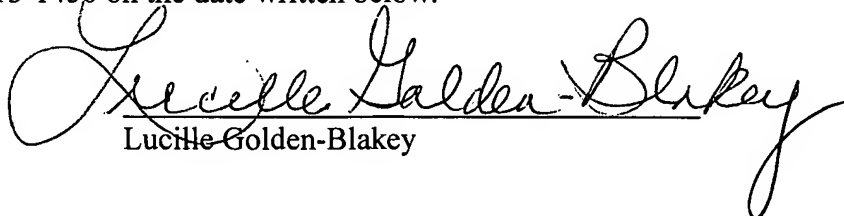
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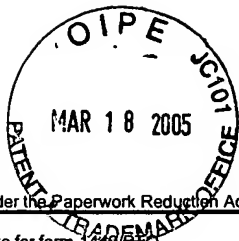


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March 15, 2005



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	11/801,789
Filing Date	03/16/04
First Named Inventor	Andy Yu, et al
Art Unit	2824
Examiner Name	Unassigned
Attorney Docket Number	49667.22371

Sheet 1 of 1

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		A Dual Gate Flash EEPROM Cell With Two-Bits Storage Capacity; M. Lorenzini, et al.; 1996 IEEE; 1996 Int'l. NonVolatile Memory Technology Conference	
		A Dual Gate Flash EEPROM Cell With Two-Bits Storage Capacity; M. Lorenzini, et al.; 1997 IEEE; Transactions on Components, Packaging and Manufacturing and Manufacturing Technology-Part A, Vol. 20, No. 2 June 1997	

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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